

Carotenoids: From Plants to Food Industry

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ABSTRACT

Carotenoids have been studied for their ability to prevent chronic disease due to the free radical theory of aging in chronic disease etiology. α -carotene, lycopene, zeaxanthine and others carotenoids have antioxidant properties, but the antioxidant capability is variable depending on the in vitro system used. The physiology, structure and biochemistry is well described. Moreover, sources of carotenoids and health effects along with bioavailability-absorption and metabolism, of carotenoids are well addressed. The effect of carotenoids on biotechnology and the food industry is significantly attributed. Finally, carotenoids as fortified substances in foods and special aspects about carotenoids as health promoters are well presented along with a glance of carotenoids economics.

keywords: Carotenoids, Phytochemicals, Physiology, Biochemistry, Structure, Health effects, Biotechnology.

INTRODUCTION

The Carotenoids belong to phytochemicals

Phytochemicals are a large group of plant-derived compounds hypothesized to be responsible for much of the disease protection conferred from diets high in fruits, vegetables, beans, cereals, and plant-based beverages such as tea and wine. Phytochemicals and antioxidant constituents in plant material have raised interest among scientists, food manufacturers, producers, and consumers for their roles in the maintenance of human health. Dietary modification by increasing the consumption of a wide variety of fruits, vegetables, and whole grains daily is a practical strategy for consumers to optimize their health and to reduce the risk of chronic diseases¹. Phytochemical extracts from fruits and vegetables have strong antioxidant and antiproliferative activities, and the major part of total antioxidant activity is from the combination of phytochemicals. Among of phytochemicals the Carotenoids have an important position. Carotenoids are nature's

most widespread pigments and have also received substantial attention because of both their provitamin and antioxidant roles. They are used extensively as safe, natural colorants for food, feed, and cosmetics. They are known to be essential for plant growth and photosynthesis, and are a main dietary source of vitamin A in humans². Because humans are unable to synthesize vitamin A *de novo* from endogenous isoprenoids precursors, plant carotenoids provide the primary dietary source of provitamin A. Carotenoids owe their name to carrots (*Daucus carota*), and xanthophylls (originally phyloxanthins) are derived from the Greek words for yellow (*xanthos*) and leaf (*phyllon*). Together with anthocyanins, carotenoids are the most complex class of natural food colorants with over 750 different structures identified.

Physiology, Structure and Biochemistry

Plant chloroplasts have a remarkably similar carotenoid composition in photosynthetic tissues, with lutein (45% of the total), β -carotene (25–30%), violaxanthin (10–15%), and neoxanthin

(10–15%) as the most abundant carotenoids³. Most carotenoids are located, together with chlorophylls, in functional pigment-binding protein structures embedded in photosynthetic (thylakoid) membranes. Thus several compounds of carotenoids are involved in photosynthesis. Carotenoids assist in photosynthesis by capturing energy from light of wavelengths that are not efficiently absorbed by chlorophylls. A typical carotenoid is β -carotene, whose two carbon rings are connected by a chain of 18 carbon atoms with alternating single and double bonds. Splitting a molecule of β -carotene into equal halves produces two molecules of vitamin A. Oxidation of vitamin A produces retinal, the pigment used in vertebrate vision. This explains why carrots, which are rich in β -carotene, enhance vision⁴. Xanthophylls are accessory pigments in the light-harvesting antennae of the chloroplasts, which are capable of transferring energy to the chlorophylls. They also quench triplet excited states in chlorophyll molecules by dissipating the excess excitation energy in a non-radiative manner, a process known as nonphotochemical quenching (NPQ) (reviewed in^{5,6}. This function is crucial to protect against chlorophyll bleaching in intense light. An additional important role of carotenoids in plants is to furnish flowers and fruits with distinct colors that are designed to attract animals. In chloroplasts, carotenoids play vital roles in photosynthesis and are indispensable, whereas in chromoplasts, they can be considered as secondary metabolites. Carotenoids in plants are also precursors for the synthesis of the hormone abscisic acid (ABA)^{7,8}.

The carotenoids as above mentioned are isoprenoid compounds, biosynthesized by tail-to-tail linkage of two C₂₀ as seen in (Fig. 1), forming a complex of 40-carbon skeleton of isoprene units (Fig. 2). The most striking and characteristic feature of carotenoids structure as that is illustrated in Fig 1&2 is the long stem of alternate single and double bonds that forms the central part of the molecule. This constitutes a conjugated system in which the π -electrons are effectively delocalized over the entire length of the polyene chain. It is the feature that gives the carotenoids as a group their distinctive molecular shape, chemical reactivity and light absorbing properties. Carotenoids are lipophilic, but some of them with polar hydroxyl and *keto* functionalities, as seen in fig 2, have increased affinities for lipid/

water interfaces. Thus, the binding and structural traits with polar hydroxyl and *keto*- units of the molecules in relation to the water/lipid interface is considered important for the antioxidant efficiency of carotenoids.

The structure may be cyclized at one or both ends, may have various hydrogenation levels, or may possess oxygen-containing functional groups. Lycopene and carotene are examples of acyclized and cyclized carotenoids, respectively. Carotenoids compounds most commonly occur in nature in the all-trans form. The most characteristic feature of carotenoids is the long series of conjugated double bonds forming the central part of the molecule. This gives them their shape, chemical reactivity, and light-absorbing properties. Carotene, β -carotene, and-cryptoxanthin are able to function as provitamin A. Each enzymatic step from phytoene to lycopene adds one double bond to the molecule, resulting in lycopene, which is a symmetrical molecule containing 11 double bonds. The biosynthetic step after lycopene involves enzymatic cyclization of the end groups, which results in β -carotene (one β -ring) and α -carotene (two β -rings). The addition of oxygen to the molecule leads to the formation of xanthophylls³. The concentration of each carotenoids in a fruit or vegetable suggests which enzyme or enzymes may be rate-limiting in the biosynthetic cascade. For example a very high concentration of lycopene in red tomatoes suggests a lack of sufficient enzyme activity to convert lycopene to β -carotene (i.e. insufficient cyclase activity)⁹. The biosynthetic sequence of the carotenoids in plants seems simple in fig 3 and with details in Fig 4, by Botella-Pavia and Rodriguez-Concepcion¹⁰.

The deficiency of vitamin A, which remains one of the most noticeable nutritional problems in many parts of the world, affects an estimated 250 million preschool children and causes blindness in up to 500,000 of them annually¹¹. Apart from the nutritional significance, carotenoids as antioxidants have been implicated in reducing the risk of cancer and cardiovascular diseases¹². Some carotenoids also offer protection against age related eye diseases, such as macular degeneration, the leading cause of blindness in the elderly¹³. Thus, development of carotenoids enriched food crops provides the most effective and sustainable approach to maximize the

nutritional and health benefits of carotenoids to a large number of population in the world.

Sources of carotenoids and health effects

Apricots, cantaloupe, carrots, pumpkin and sweet potato are sources of α -carotene and β -carotene; pink grapefruit, tomatoes and watermelon are sources of lycopene, ζ -carotene, β -carotene, phytofluene and phytoene. Mango, papaya, peaches, prunes, squash and oranges are sources of lutein, zeaxanthin, and β -cryptoxanthin, α -, β - and ζ -carotene, phytofluene and phytoene, whereas green fruits and vegetables such as green beans, broccoli, brussel sprouts, cabbage, kale, kiwi, lettuce, peas and spinach are sources of lutein, zeaxanthin, α - and β -carotene. Common dietary sources of carotenoids in regular vegetable foods (1g/100 fresh weight) are illustrated in Table 1. Carotenoid concentrations in fruits and vegetables vary with plant variety, degree of ripeness, time of harvest, and growing and storage conditions¹⁴. The most widely studied carotenoids related to health effects are α -carotene, lycopene, lutein, zeaxanthin, β -cryptoxanthin, and α -carotene. β -carotene is associated with reduction of cardiovascular disease risk^{15, 16}, while α -carotene is recognized as an anticarcinogenic agent^{17,18}. Lycopene is the carotenoid with greater biological efficiency to scavenge singlet oxygen¹⁹ and may have protective effect against some types of cancer^{20, 21, 22}. Lutein and zeaxanthin are the yellow macular pigments and act as antioxidants²³ and blue light filters of high energy²⁴. β -cryptoxanthin has been associated with antimutagenic activities in *in vitro* studies²⁵, with immunomodulatory activities on *in vitro* and *in vivo* studies²⁶, and with effects on osteosynthesis in *in vitro* and *in vivo* studies²⁷.

Bioavailability - Absorption and Metabolism, of Carotenoids

The bioavailability of carotenoids in foods and in commercial preparations varies widely⁶⁷. Only about 5% of the carotenoids in whole, raw vegetables, for example, is absorbed by the intestine, whereas 50% or more of the carotenoids is absorbed from micellar solutions. Thus, the physical form in which the carotenoids is presented to intestinal mucosal cells is of crucial importance²⁷.

Food matrix

Carotenoids have an essential physiological function as a vitamin A precursor. The release of carotenoids from food matrix, their dispersion within the digestive tract, and their solubilization in mixed micelles are important steps for carotenoids bioaccessibility. Solubilized carotenoids are taken up by epithelial cells of the small intestine by simple diffusion and/or transporter-mediated processes and then secreted to lymph as chylomicron. Carotenoids are incorporated into triglyceride-rich lipoproteins and released into the circulation²⁸. Triglycerides are depleted from circulating chylomicrons through the activity of an enzyme called lipoprotein lipase, resulting in the formation of chylomicron remnants. Chylomicron remnants are taken up by the liver, where carotenoids are incorporated into lipoproteins and secreted back into the circulation. This is carried out in the presence of fat and conjugated bile acids. Chylomicrons are responsible for the transport of carotenoids from the intestinal mucosa to the bloodstream via the lymphatics for delivery to tissues. Carotenoids are transported in the plasma exclusively by lipoproteins. Absorption is affected by the same factors that influence fat absorption. Thus, the absence of bile or any generalized malfunction of the lipid absorption system, such as diseases of the small intestine and pancreas, will interfere with the absorption of carotenoids. Carotenoids accumulated in tissues are thought to be metabolized to small molecules by enzymatic cleavage and/or chemical oxidation with active oxygen species at conjugated double bonds. The hydroxyl group of xanthophylls can be oxidatively metabolized to carbonyl group. Carotenoids with long chain of conjugated double bonds physically quench singlet oxygen and scavenge oxygen radicals, particularly under low oxygen pressure, and thereby they have been thought to work as lipophilic antioxidants for human health. Carotenoids, being mostly fat soluble, follow the same intestinal absorption path as dietary fat. For carotenoid absorption, as little as 3-5 g of fat in a meal is sufficient^{29,30}. Oxygen functionalized carotenoids are more polar than carotenes. Thus, α -carotene, β -carotene and lycopene tend to predominate in low-density lipoproteins (LDL) in the circulation, whereas high-density lipoproteins (HDL) are major transporters of xanthophylls such as cryptoxanthins, lutein and zeaxanthin^{31,32}. The delivery of carotenoids

to extrahepatic tissues is accomplished through the interaction of lipoprotein particles with receptors and the degradation by lipoprotein lipase. Although no less than forty carotenoids are usually ingested in the diet, only six carotenoids and their metabolites have been found in human tissues, suggesting selectivity in the intestinal absorption of carotenoids^{33,34}. In contrast, thirty-four carotenoids and eight metabolites are detected in breast milk and serum of lactating mothers³⁵. Recently, facilitated diffusion in addition to simple diffusion has been reported to mediate the intestinal absorption of carotenoids in mammals. The selective absorption of carotenoids may be due to uptake to the intestinal epithelia by means of facilitated diffusion and an unknown mechanism of excretion into the intestinal lumen. It is well known that α -carotene can be metabolized to vitamin A after intestinal absorption of carotenoids, but little is known about the metabolic transformation of non-provitamin A xanthophylls. The enzymatic oxidation of the secondary hydroxyl group leading to *keto*-carotenoids would occur as a common pathway of xanthophyll metabolism in mammals³³. However there are some cases where the bioavailability dependent on plant sources or from fiber of meals :

- The bioavailability of carotenoids from various plant sources is thought to differ (although the degree is uncertain) due to differences in plant matrices and content of inhibitors of carotenoid absorption. For example, de Pee,³⁶ who studied anemic school children, observed that among α -carotene-rich foods, orange-pigmented fruit was superior to dark-green, leafy vegetables in increasing circulating concentrations of α -carotene. Ripe fruits, in general, have chromoplasts that store carotenoids, and carotenoids would be expected to be more easily liberated from chromoplasts than from chloroplasts of dark-green, leafy vegetables. Of course, many of the foods that consumers think of as vegetables [e.g., tomatoes, peppers (*Capsicum annuum* L.)] are, botanically, fruits.
- Dietary fiber can reduce the bioavailability of carotenoids, as demonstrated with subjects who ate small meals that were either low in fiber or contained 12 g of citrus pectin³⁷. Immediately following the meal, the subjects

ingested 25 mg of α -carotene as a supplement. Thirty hours after the treatment, the subjects' plasma α -carotene concentrations increased 141% and 60%, respectively, for the low- and high-fiber treatments.

Interactions among carotenoids

More, there are studies about interactions among carotenoids. It is generally assumed that large supplemental doses of a single carotenoid will affect the bioavailability of other carotenoids. One carotenoid may enhance the absorption of another, compete with another for absorption, spare another, or otherwise alter the rate of metabolism of other carotenoids. For example, absorption (plasma response) of canthaxanthin³⁸ and lutein^{39,40} seems to be inhibited by concurrent ingestion of β -carotene. The impact of lutein on β -carotene is less clear; lutein reduced the plasma response of β -carotene for some subjects, but increased it for others⁴¹. In another study, lutein, but not lycopene, inhibited β -carotene absorption when administered with an equivalent amount (15 mg) of α -carotene⁴². Higher plasma α -carotene concentrations were observed in subjects receiving β -carotene supplements (30 mg daily for 6 weeks)⁴⁰. Combined ingestion of α -carotene and lycopene resulted in greater lycopene absorption, as indicated by plasma response, than did a single dose of lycopene⁴¹. These data indicate that β -carotene, a hydrocarbon carotene, may inhibit the absorption of oxycarotenoids, such as lutein and canthaxanthin, but enhances absorption of hydrocarbon carotenoids, such as α -carotene and lycopene.

Influence of isomeric form on bioavailability and bioactivity

Among the factors that influence bioavailability and bioactivity are the food matrix, the growth maturity when the fruits and vegetable are consumed fresh and the food processing methods. The concentration of carotenoids isomers consists another serious factor because the carotenoids, exist as *trans* and *cis*-isomers.

In food research, carotenoids from fruits and vegetables have attracted a great deal of attention, mainly focusing on the analysis of geometric carotenoid isomers. There is some evidence that isomeric form may be an important factor in the bioactivity of certain carotenoids. For instance, *cis*-

lycopene is found at high levels in human serum and tissues, while in most plant tissues and foods, the all-*trans* form predominates unlike lycopene⁴², the *trans* isomer of lutein is the predominant form found both in raw plant materials and some human tissues, and has also been found to be preferentially absorbed by cell cultures⁴³. According to some other findings the *cis*-lycopene isomers concentrations are higher in animal tissues than in raw plant materials, has created among the nutritionists a dialogue as to whether *cis* isomers may be preferentially absorbed by the body or *trans* lycopene is converted to *cis* once consumed^{44,45,46,47,48,49}. Bile acid micelles mixed with lycopene isomers were found to have

higher incorporation levels of *cis* isomers⁴⁶. In⁴⁸, high plasma lycopene content was determined using human subjects fed a sauce made of tangerine tomatoes. However, this feeding had high levels (97%) of *cis* isomers so it was added to the support of the hypothesis that *cis* lycopene isomers are more bioavailable than all-*trans* isomers. Another study by *cis* isomers of beta-carotene has also been reported to have greater efficiency of incorporation into micelles than all-*trans* beta-carotene *in vitro*^{50,51} but were not found to be preferentially absorbed by Caco-2 human intestinal cells⁵¹. Difference in carotenoids solubility and shape may help explain their differences in bioavailability⁴⁹.

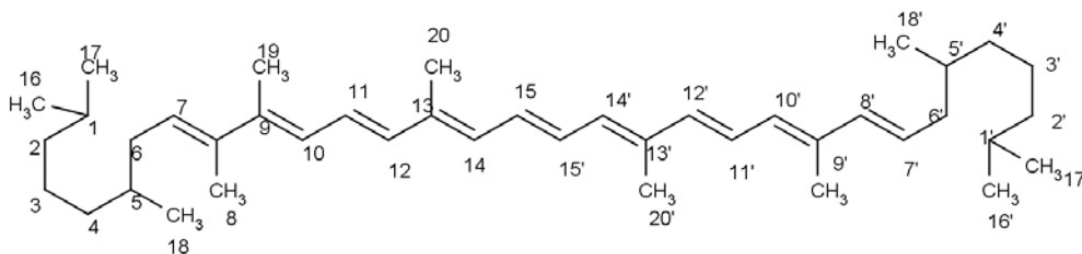


Fig. 1: Basic chemical structure of carotenoids

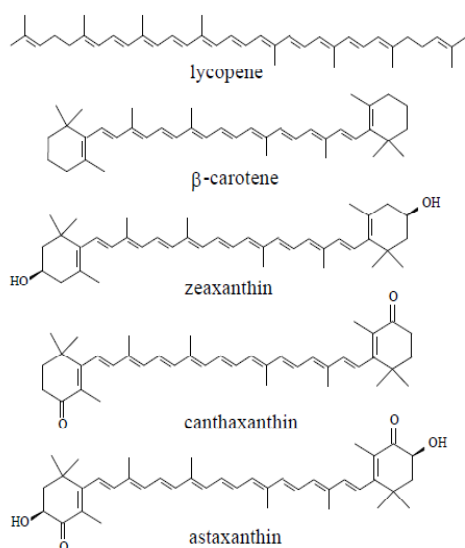


Fig. 2: Carotenoids, molecular structures of 40-carbon skeleton of isoprene units. The binding of the molecules at the water/lipid interface is considered important for the antioxidant efficiency

The bioavailability of carotenoids is affected by a number of factors, heat treatment being one of them that may enhance carotenoid bioavailability³⁰. The reasoning behind this phenomenon is that the heating increases surface area, and agitation typically associated with thermal processing is likely to cause the breakdown of the cellular matrix of the plant material and may also induce *trans* to *cis* isomerization^{44, 52, 53, 54, 55, 56, 58}. Naturally, most of the carotenoids occur as *trans*-isomer in plants. However, *cis*-isomers may increase due to the isomerization of the *trans*-isomer of carotenoids during food processing⁵⁷. Relative studies⁸ with carrot juice processing have been carried out aiming to study the phenomenon of isomerization of carotenoids. Carrot juice is a vegetable juice and represents a rich source of natural α - and β -carotene and more. Its production is a model of processing because involves various technological steps such as blanching, acidification, pasteurization or canning. According to the studies it seems that in processing of carrot juice a partial conversion of the all-*trans*-carotenes towards their *cis*-isomers is caused.

Carotenoids and biotechnology

Carotenoids are highly beneficial for human nutrition and health because they provide essential nutrients and important antioxidants in our diets. However, many food crops, especially the major staple crops contain only trace to low amounts of carotenoids. Although significant progress has been made in developing food crops rich in carotenoids by altering the expression of carotenoids biosynthetic genes, in many cases it has proved to be difficult to reach the desired levels of carotenoids enrichment¹⁰. Manipulation of carotenoids content in food crops has been primarily focused on engineering of catalytic activity of this pathway by altering the expression of carotenoids biosynthetic genes. In some cases, this is not sufficient to enhance carotenoids contents to levels required for optimal human nutrition and health. An alternative approach to enhance carotenoids levels in food crops is based on regulating the formation of metabolic sinks to effectively sequester carotenoids⁶⁰. Such sinks exert their positive effect on carotenoids accumulation by pulling the pathway toward completion. This approach, in combination with manipulation of catalytic activity of the pathway, may prove to be an effective and efficient strategy to dramatically enrich carotenoids content in low-pigmented tissues of food crops. The recent identification and characterization of a novel gene mutation

in cauliflower reveals that creating a metabolic sink to sequester carotenoids is an important mechanism to control carotenoids accumulation in plants. The successful demonstration of increased carotenoids accumulation in association with the formation of sink structures in transgenic crops offers a new and alternative approach to increase carotenoids content. Manipulation of the formation of metabolic sink along with the catalytic activity of the pathway may represent a promising strategy for maximally improving the nutritional quality of food crops⁶⁰. Another side of topic "biotechnology and carotenoids" is this of biotechnology as "tool" that gets involved in carotene production by manipulation of microorganisms. Natural α -carotene is widely used as a food colorant. The global market of α -carotene has been estimated to surpass USD \$280 million in 2015⁶¹. α -carotene is very attractive as natural food colorant due to its antioxidant and pro-vitamin activities which provide additional value to the products⁶². Furthermore, α -carotene was proved to have beneficial influence on iron and zinc bioaccessibilities⁶³. The main sources of natural α -carotene include extraction from vegetable resources and microbial fermentation⁶¹. The production of natural colorants through fermentation has a number of advantages, such as cheaper production, higher yields, possibly easier extraction, less batch-to-batch variations, no lack of raw

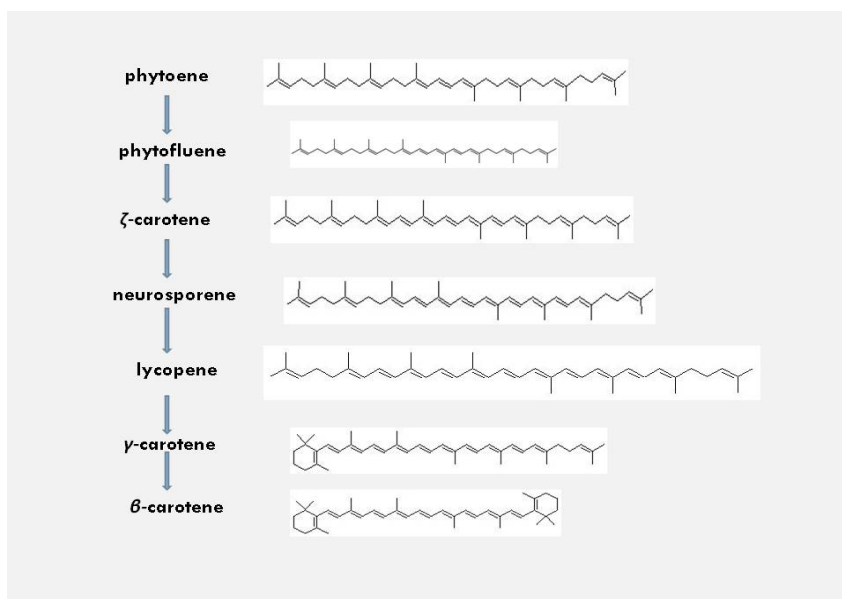


Fig. 3: The biosynthetic sequence of the carotenoids in plants

materials, and no seasonal variations^{64,65}. β -carotene can be produced by numerous microorganisms such as *Blakeslea trispora* (fungi), *Rhodotorula spp.*, and *Saccharomyces cerevisiae* (yeast), and *Dunaliella bardawil* (microalgae)^{66,67}. Although there are also some bacteria species which produce β -carotene as main carotenoid⁶⁸ these species must have the central metabolism inhibited by inorganic salts and urea or must be genetically engineered⁶¹.

Carotenoids in Food industry

Carotenoids as fortified substances in foods

In general, carotenoids are not strong antioxidants when added to foods⁶⁹. Despite all these references an additional challenge to using carotenoids as ingredients in functional foods is their high melting point, making them crystalline at food storage and at the body temperatures. Endogenous carotenoids in foods are generally stable. However,

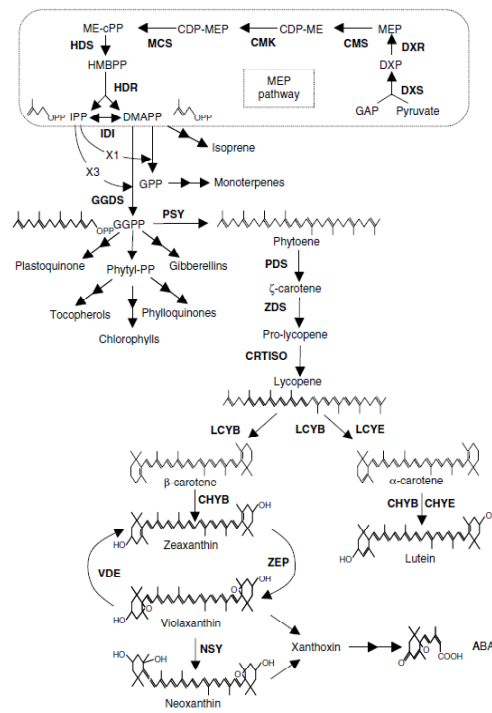


Fig. 4: Carotenoids biosynthesis and related pathways in plants. Botella-Pavia P and Rodriguez-Concepcion M (2006) Carotenoid biotechnology in plants for nutritionally improved foods. *Physiol Plant* 126:369–381

GAP, glyceraldehyde 3-phosphate; **DXP**, deoxyxylulose 5-phosphate; **MEP**, methylerythritol 4-phosphate; **CDP-ME**, 4-diphosphocytidyl-methylerythritol; **CDP-MEP**, 4-diphosphocytidyl-methylerythritol 2-phosphate; **ME-cPP**, methylerythritol 2,4-cyclodiphosphate; **HMBPP**, hydroxymethylbutenyl 4-diphosphate; **IPP**, isopentenyl diphosphate; **DMAPP**, dimethyl allyl diphosphate; **GPP**, geranyl diphosphate; **GGPP**, geranylgeranyl diphosphate; **ABA**, abscisic acid. Enzymes are indicated in bold: **DXS**, DXP synthase; **DXR**, DXP reductoisomerase; **CMS**, CDP-ME synthase; **CMK**, CDP-ME kinase; **MCS**, ME-cPP synthase; **HDS**, HMBPP synthase; **HDR**, HMBPP reductase; **IDI**, IPP isomerase; **GGDS**, GGPP synthase; **PSY**, phytoene synthase; **PDS**, phytoene desaturase; **ZDS**, z-carotene desaturase; **CRTISO**, carotenoid isomerase; **LCYB**, lycopene b-cyclase; **LCYE**, lycopene e-cyclase; **CHYB**, carotenoid b-ring hydroxylase; **CHYE**, carotenoid e-ring hydroxylase; **ZEP**, zeaxanthin epoxidase; **VDE**, violaxanthin de-epoxidase; **NSY**, neoxanthin synthase.

as food additives, carotenoids are relatively unstable in food systems because they are susceptible to light, oxygen, and autooxidation⁵⁷. In addition, dispersion of carotenoids into ingredient systems can result in their rapid degradation^{70,71}. Carotenoids can be degraded by reactions that cause the loss of double bonds or the scission of the molecule. In addition, the double bonds in carotenoids can undergo isomerization to the cis configuration⁵⁷. Isomerization reactions might actually be beneficial since cis isomers of carotenoids such as lycopene are thought to be more bioavailable and bioactive⁵⁸. Carotenoids as natural pigments, are used by the industry as colorants in various food and drinks. In literature carotenoids have been reported to act as chain breaking antioxidants under specific conditions. However due to their highly conjugated structure, carotenoids are very unstable and can be easily degraded when exposed to oxygen or light during storage or manufacture of foods. This can cause the loss of their nutritive and biological desirable properties as well as the production of undesirable flavor or aroma compounds. For these reasons, these compounds are not usually handled in their crystalline form but rather as encapsulated forms. Encapsulation of carotenoids (β -carotene) is carried out which

has created an opportunity for the development of carotenoid (β -carotene) forms for supplementation and food fortification. Simple oil-in-water emulsions are currently the most widely used method of encapsulating lipophilic functional components, such as flavors and bioactive lipids. The potential for using multiple emulsions to encapsulate some lipophilic functional food or nutraceutical compounds has been demonstrated, such as β -carotene⁷². The case of β -carotene b is an important member of the carotenoids family as a retinol precursor with a high conversion rate, provides a substantial proportion of vitamin A in the human diet^{73,74}. For these reasons, there is a strong interest in using β -carotene and other carotenoids as functional ingredients in food products. However, β -carotene is insoluble in water and weakly soluble in oil at ambient temperature because of its crystalline form, thus making it difficult to incorporate in food products and with less bioavailability⁷⁵. Furthermore, β -carotene is sensitive to light, oxygen, and heat, which limit even more its applications in the food industry⁷⁶. Recently, nanotechnology quickly emerged as one of the most promising and attractive research fields, with applications ranging from the aerospace to health industries⁷⁸. Thus the Food Industry could have

Table 1: Common dietary sources of carotenoids in regular vegetable and fruits (ig/100 fresh weight)

Food	β -Carotene	Lutein	β -Cryptoxanthin	Lycopene	α -Carotene	Zeaxanthin
Carrot	7975	271	-	-	2186	-
Spinach	4489	6265	-	-	-	-
Broccoli	1580	2560	-	-	-	-
Lettuce	890	1250	-	-	-	-
Green peas	548	1840	-	-	-	-
Watercress	5919	10713	-	-	-	-
Tomato	608	77	-	4375	-	-
Orange*	250	120	700	-	200	-
Orange juice*	375	1180	1980	-	-	-
Mandarin*	275	50	1775	-	-	140
Sweet corn	45	520	-	-	60	440
Red pepper*	1700	270	250	-	30	600
Apricot*	3500	70	120	trace	Trace	-
Mango*	3100	-	800	-	-	-
Papaya*	640	-	770	3400	30	-
Watermelon	180	20	300	4750	trace	-

*carotenoid esters present

Source: Southon and Faulks (2003). Carotenoids in food: bioavailability and functional benefits. In: Phytochemical functional foods. Johnson, I and Williamson, G (Eds.). Ch. 7. Woodhead Publishing Limited. CRC Press. ISBN 0-8493-1754-1, pp. 107-127.

benefits from nanotechnology applications because this technology offers the potential to improve bioavailability and solubility of different functional ingredients such as the “carotenoids”⁷⁹.

Special aspects about carotenoids as health promoters

Carotenoids are thought to have a protective effect against degenerative conditions such as cancer, cardiovascular disease and cataracts^{80,81}. The mechanism(s) by which carotenoids exert their health benefits are not completely understood, but may be due in part to their antioxidant activities^{81,82} it seems that their action is supported by the common chemical feature of carotenoids where there isn't else from the polyene chain. Polyene chain a long conjugated double bond system forming the backbone of the molecule. This chain may be terminated by cyclic end groups that contain oxygen-bearing substitutes. Many epidemiologic studies have associated high carotenoid intake with a decrease in the incidence of chronic disease. Multiple possibilities exist: certain carotenoids 1) can be converted to retinoids (i.e. have provitamin A activity), 2) can modulate the enzymatic activities of lipoxygenases (proinflammatory and immunomodulatory molecules), 3) can have antioxidants properties which are well above what is seen with vitamin A, 4) can activate the expression of genes which encode the message for production of a protein, connexin 43, which is an integral component of the gap junctions required for cell to cell communication⁸³. Such gene activation is not associated with antioxidant capacity and is independent of pro-vitamin A activity⁸⁴. On the other hand, it should be recognized that carotenoids in the epidemiologic studies might have been serving as markers for other protective factors in fruits and vegetables, but were not acting as effective agents themselves. Stimulation of gap junctional communication has been suggested as a possible biochemical mechanism underlying the cancer-preventive activity of carotenoids. It appears that the presence of a six-membered ring substituent at the end of the conjugated system of double bonds is required for the stimulatory effect; five-membered ring carotenoids are less active. However, there is increasing evidence that oxidation products of carotenoids, especially retinoic acid analogs, significantly contribute to this biological property⁸⁵. Antioxidants (including carotenoids) have been

studied for their ability to prevent chronic disease, since the free radical theory of aging in chronic disease etiology remains pre-eminent. β -carotene and other carotenoids have antioxidant properties, but the antioxidant capability is variable depending on the in vitro system used. The antioxidant activity of these compounds can shift into a prooxidant effect, depending on such factors as oxygen tension or carotenoid concentration. Mixtures of carotenoids alone or in association with other antioxidants can increase their activity against lipid peroxidation⁸⁶.

A glance of carotenoids economics

Industrially, carotenoids are used in pharmaceuticals, nutraceuticals, and animal feed additives, as well as colorants in cosmetics and foods. The global market for carotenoids can be broken down into 10 products – *beta-carotene, lutein, astaxanthin, capsanthin, annatto, canthaxanthin, lycopene, beta-apo-8-carotenal, zeaxanthin, and beta-apo-8-carotenol-ester*. According to the study “THE GLOBAL MARKET FOR CAROTENOIDS (FOD025D)” from BCC Research (www.bccresearch.com), the global carotenoids market totaled nearly \$1.2 billion in 2010. In 2018, that value is projected to surpass \$1.4 billion, increasing at an eight-year compound annual growth rate (CAGR) of 2.3%. The main categories of carotenoids are still the same. There is no, new types of carotenoids have come on the market and, with the exception of astaxanthin, no fundamentally new manufacturing technology has been introduced. However, the market for carotenoids over the past four years has changed dramatically for some product segments. Prices have dropped for a number of products while market value has risen for others. β -carotene is still the most prominent carotenoid. While consumption has developed organically, products across all types of formulation and origin have seen a big drop in price due to the massive market entry of Chinese manufacturers with high-quality products. The β -carotene market is currently oversupplied. Synthetic β -carotene continues to dominate the market and hopes that fermentation-derived β -carotene would capture a larger market share has not been fulfilled, with β -carotene from algae practically disappearing from the market. Lycopene, an antioxidant recommended to prevent prostate cancer, obtained permission from the European Commission (EC) to be used as a food additive and coloring agent years ago, but hopes

that such approval would boost the market have not come true. However, consumption in the traditional supplement segment has increased. However, prices have decreased now that many Asian suppliers offer lycopene extracts from tomatoes at acceptable qualities. Consumption of standard astaxanthin, the color of choice for pigmenting fish and shrimp, is expanding in line with the growing aquaculture industry. However, in contrast to other carotenoids, astaxanthin derived from algae is booming as an ingredient for food supplements and prices for such products are skyrocketing. Technological innovations are occurring at an intensity rarely seen during the past decade in the carotenoid sector, ranging from fully controlled tube systems in production to the use of specifically designed microorganisms that can produce astaxanthin in the dark. Canthaxanthin is still the color of choice for providing a red tone in egg yolks and in the pigmentation of salmonid fishes and shrimp. For canthaxanthin, price erosion started early and has not yet been brought to a standstill. Expansion of consumption is moderate and market values are shrinking due to decreasing prices. The lutein supplement market boomed until 2004. Since then, the market has grown at moderate rates. The producer landscape has multiplied, competition among primary lutein producers is fierce, and prices for unrefined feed grade product are still low, although they are mildly increasing. In the supplement segment, lutein enjoys high popularity but prices are declining given the numerous producers in Asia (<http://www.reportlinker.com>).

CONCLUSION

Carotenoids are the phytonutrients that impart a distinctive yellow, orange, and red color to

various fruits and vegetables. The physico-chemical properties and the biological activities of carotenoids are intimately related to their structures. Amongst several dozen of antioxidants in the foods that we eat, most of these carotenoids have antioxidant activity. Carotenoids have been studied for their ability to prevent chronic disease, since the free radical theory of aging in chronic disease etiology remains pre-eminent. *b*-carotene, lycopene, zeaxanthin and others carotenoids have antioxidant properties, but the antioxidant capability is variable depending on the *in vitro* system used. The antioxidant activity of these compounds can shift into a prooxidant effect, depending on such factors as oxygen tension or carotenoid concentration. Mixtures of carotenoids alone or in association with others antioxidants can increase their activity against lipid peroxidation. The isomeric form may be an important factor in the bioavailability and bioactivity of certain carotenoids. Carotenoids as natural pigments, are used by the industry as pharmaceuticals, nutraceuticals, and animal feed additives, as well as colorants in cosmetics and special foods. In many food crops, especially the major staple crops contain only traces to low amounts of carotenoids. Through biotechnology significant progress has been made in developing food crops rich in carotenoids by altering the expression of carotenoids biosynthetic genes or using microorganisms to produce carotenoids by fermentation procedure. The global market for carotenoids can be broken down into 10 products – *beta*-carotene, lutein, astaxanthin, capsanthin, annatto, canthaxanthin, lycopene, *beta*-apo-8-carotenal, zeaxanthin, and *beta*-apo-8-carotenal-ester.

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